

## UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Yumiko KAWANO, Hideaki YAMASAKI and Gishi CHUNG

For: METHOD AND APPARATUS FOR MANUFACTURING SEMICONDUCTOR DEVICE

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
☐ Applicant Claims Small Entity Status  
☒ Specification, Claims, and Abstract (29 pages)  
☒ 11 Sheet(s) of drawing (☐ formal, ☒ informal)  
☐ Form PTO-1595 and an assignment of the invention to:  
☒ A copy of International Patent Application No. PCT/JP00/04889 from which priority is claimed.  
☒ A certified copy of Japan Patent Application No. H11-210149 filed on July 26, 1999 from which priority is claimed ☒ will follow.  
☐ An associate Power of Attorney.  
☐ Combined Declaration and Power of Attorney.  
☐ Information Disclosure Statement, Form PTO 1449, and ☐ cited references  
☐ Request and Certification under 122(b)  
☒ Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
of prior application No.: PCT/JP00/04889 and Japan Application No. H11-210149.  
Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

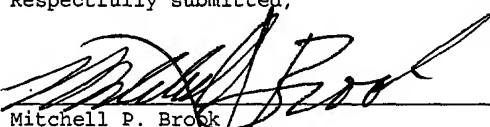
- ☒ Check in the amount of \$740.00 to cover the filing fee is enclosed.  
☐ Check in the amount of \$0.00 to cover the Assignment Recordation filing fee is enclosed.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.

A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Mitchell P. Brook  
Registration No. 32,967  
c/o BAKER & MCKENZIE  
101 West Broadway, 12th Floor  
San Diego, California 92101  
(619) 236-1441

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 740.00

**Complete if Known**

Application Number	To be assigned
Filing Date	Filed herewith
First Named Inventor	Yumiko KAWANA et al.
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	21943-1020

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-0410

Deposit Account Name Baker &amp; McKenzie

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370	Utility filing fee	740.00
106	330	206 165	Design filing fee	
107	510	207 255	Plant filing fee	
108	740	208 370	Reissue filing fee	
114	160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

**2. EXTRA CLAIM FEES**

	Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20	-20** = 0	0.00	0.00
Multiple Dependent Claims	3	-3** = 0	0.00	0.00

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9	Claims in excess of 20
102	84	202 42	Independent claims in excess of 3
104	280	204 140	Multiple dependent claim, if not paid
109	84	209 42	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65	Surcharge - late filing fee or oath	
127	50	227 25	Surcharge - late provisional filing fee or cover sheet	
139	130	139 130	Non-English specification	
147	2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115	110	215 55	Extension for reply within first month	
116	400	216 200	Extension for reply within second month	
117	920	217 460	Extension for reply within third month	
118	1,440	218 720	Extension for reply within fourth month	
128	1,960	228 980	Extension for reply within fifth month	
119	320	219 160	Notice of Appeal	
120	320	220 160	Filing a brief in support of an appeal	
121	280	221 140	Request for oral hearing	
138	1,510	138 1,510	Petition to institute a public use proceeding	
140	110	240 55	Petition to revive - unavoidable	
141	1,280	241 640	Petition to revive - unintentional	
142	1,280	242 640	Utility issue fee (or reissue)	
143	460	243 230	Design issue fee	
144	620	244 310	Plant issue fee	
122	130	122 130	Petitions to the Commissioner	
123	50	123 50	Processing fee under 37 CFR 1.17(q)	
126	180	126 180	Submission of Information Disclosure Stmt	
581	40	581 40	Recording each patent assignment per property (times number of properties)	
146	740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370	Request for Continued Examination (RCE)	
169	900	169 900	Request for expedited examination of a design application	


Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Mitchell P. Brook	Registration No. (Attorney/Agent)	32,967	Telephone	619 236-1441
Signature		Date	1/18/02		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	Art Unit: To be assigned
Yumiko KAWANO et al.	)	
	)	Examiner: To be assigned
Serial No.: To be assigned	)	
	)	
Filed: Filed herewith	)	
	)	
For: METHOD AND APPARATUS FOR	)	
MANUFACTURING	)	
SEMICONDUCTOR DEVICE	)	

San Diego, California 92101  
January 18, 2002

BOX PATENT APPLICATION  
Commissioner For Patents  
Washington, D.C. 20231

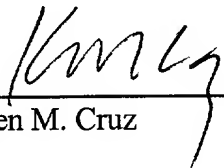
**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

"Express Mail" mailing label No. EL 926 866 021 US

Date of Deposit: January 18, 2002

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, Patent Application including the Specification, Abstract, and Claims (29 pages), Informal Drawings (FIGS. 1-11 on 11 pages), Check in the amount of \$740.00 (Filing Fee) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Karen M. Cruz